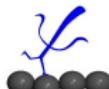


Quantum dynamics of hydrogen atoms on graphene

Rocco Martinazzo

Dipartimento di Chimica
Università degli Studi, Milano, Italy

13th International Workshop on Quantum Reactive Scattering
Salamanca, July 6-10, 2015

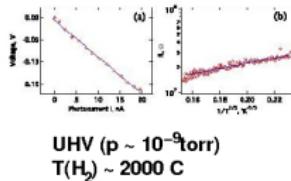
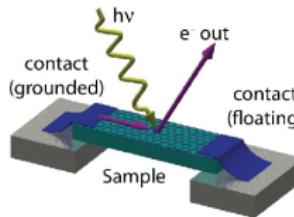
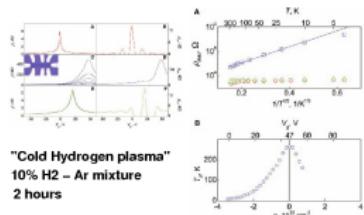




Technology: graphene physics and devices

Graphene is a true **2D-electron gas** (2DEG) system with pseudo-relativistic charge-carriers

MIT occurs when **hydrogenating** graphene



.. σ vs T agrees well with VRH in two dimensions

High n_H : D. C. Elias *et al.*, *Science* **323**, 610 (2009)

Low n_H : A. Bostwick *et al.*, *Phys. Rev. Lett.* **103**, 056404 (2009)

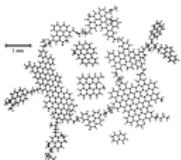
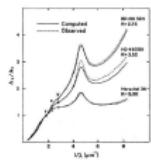
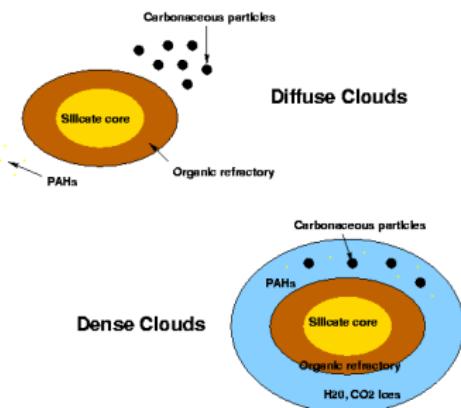




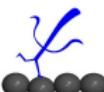
H₂ in the ISM

- Hydrogen is the most abundant element of the Universe
- H₂ is formed on the surface of *dust grain*

$$f_{\text{grain}} = n_{\text{grain}} / n_H \sim 10^{-12} \text{ i.e. } \sim 1\% \text{ of ISM mass}$$

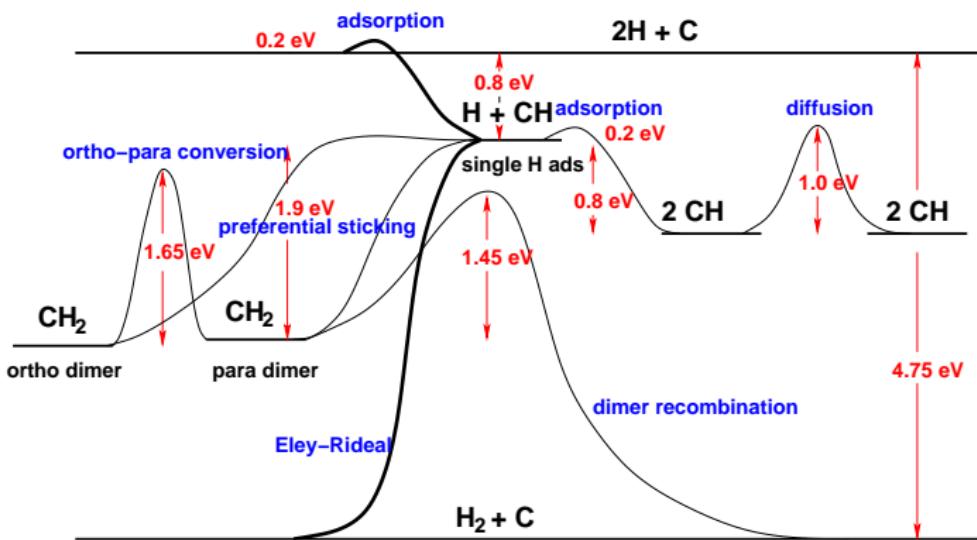


Hydrogen-graphite is an important model for understanding H₂ formation in ISM



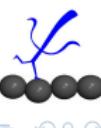


Chemisorption and reaction



R. Martinazzo, S. Casolo and L. Horneaker

in *Dynamics of Gas-Surface Interactions*, Ed.s R. D. Muino and H. F. Busnengo, Springer (2013)





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Outline

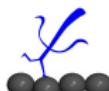
1 Adsorption energetics

2 Eley-Rideal reaction dynamics

- Reduced-dimensional quantum dynamics
- *Ab initio* molecular dynamics

3 Sticking dynamics

- System-bath modeling
- Quantum dynamics





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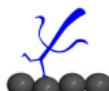
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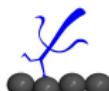
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Outline

1 Adsorption energetics

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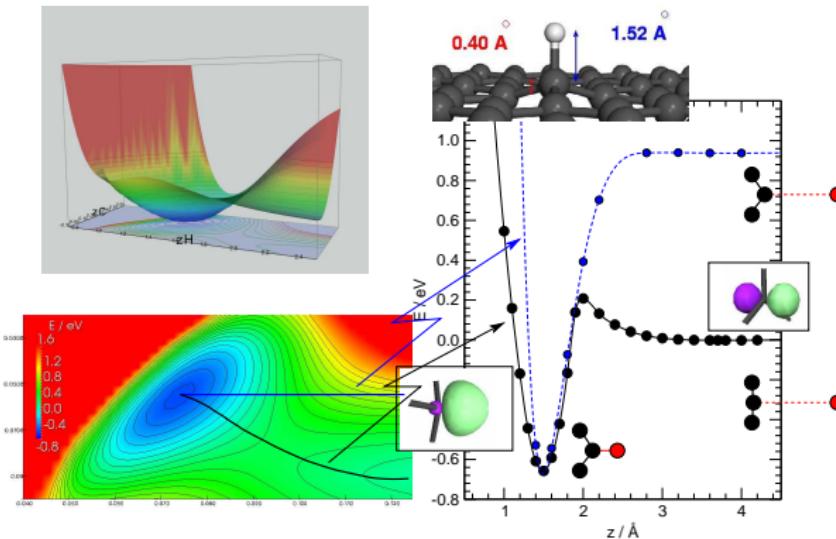
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- Quantum dynamics



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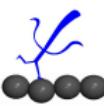
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Chemisorption of a H atom

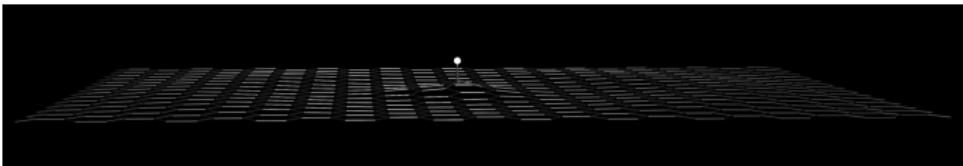


L. Jeloaica and V. Sidis, *Chem. Phys. Lett.* **300**, 157 (1999)
X. Sha and B. Jackson, *Surf. Sci.* **496**, 318 (2002)

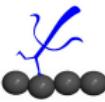
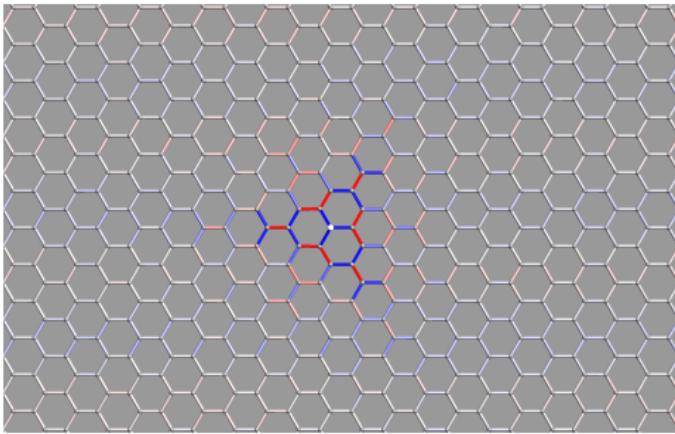
phys



Chemisorption of a H atom

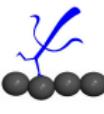
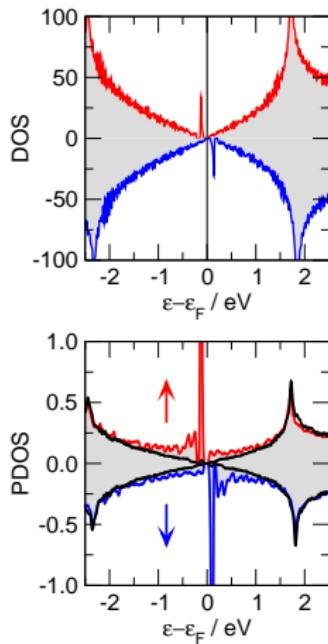
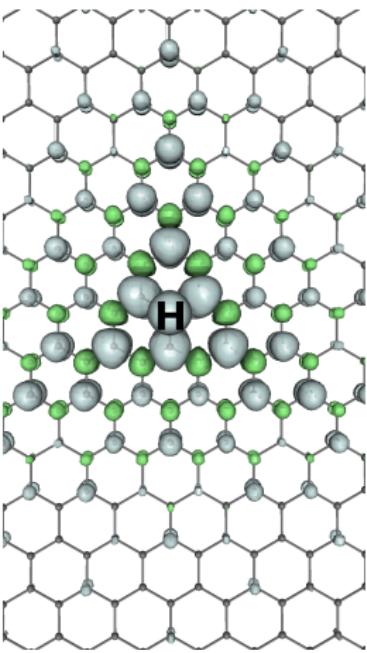


0.5% shorter - d_{CC}^0 - 0.5% longer



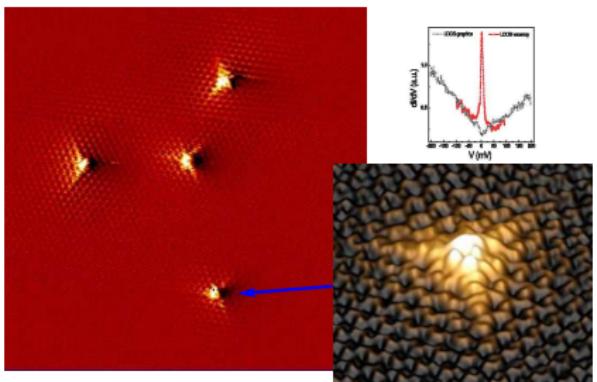
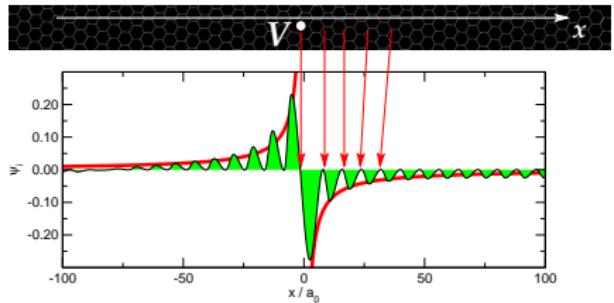
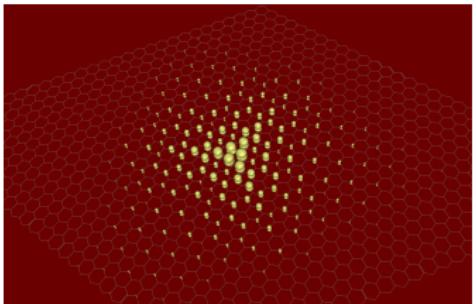

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Midgap states





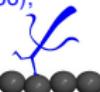
Midgap states: p_z vacancies



M.M. Ugeda *et al.*, Phys. Rev. Lett. **104**, 096804 (2010)

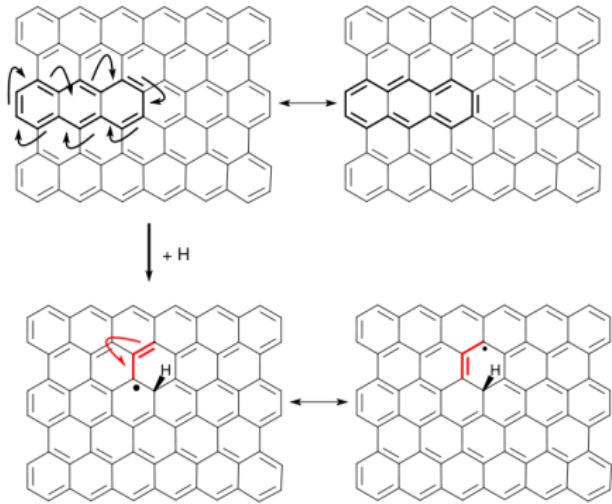
Sublattice imbalance + pseduorelativistic massless behavior
 $\psi(x, y, z) \sim 1/r$

V. M. Pereira *et al.*, Phys. Rev. Lett. **96**, 036801 (2006);
 Phys. Rev. B **77**, 115109 (2008)



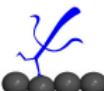


Midgap states: p_z vacancies



Resonating Valence Bond

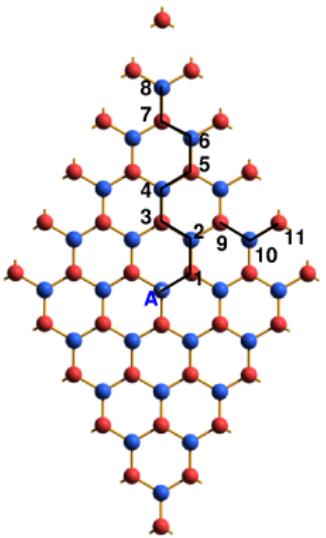
- **Charge transport:** resonant scatterers explain behavior of σ_{DC} at zero and finite electron densities
- **Magnetism:** local magnetic moments responsible for the observed paramagnetic response
- **Chemistry:** preferential sticking leading to dimer and cluster formation



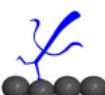
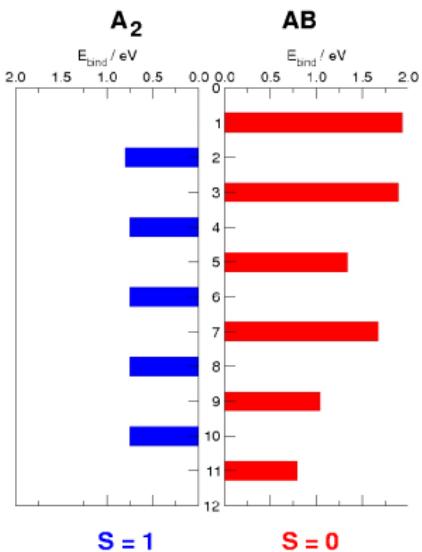
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Dimers



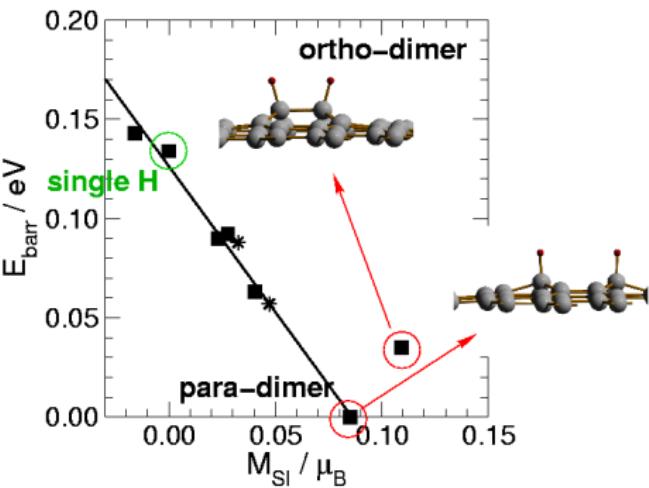
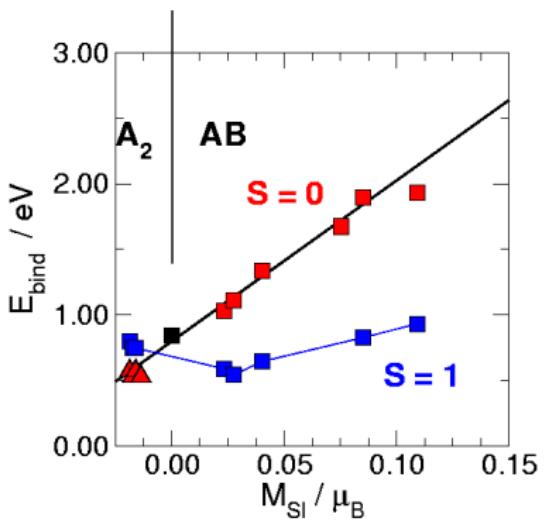
Majority sublattice
Minority sublattice



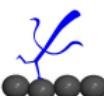
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Dimers



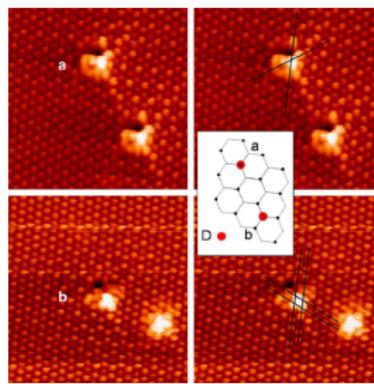
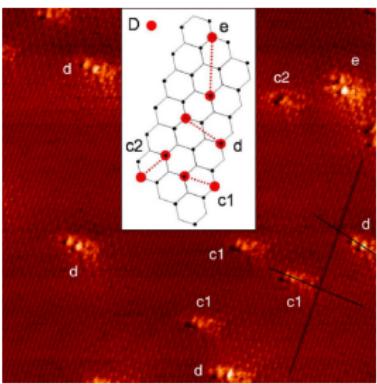
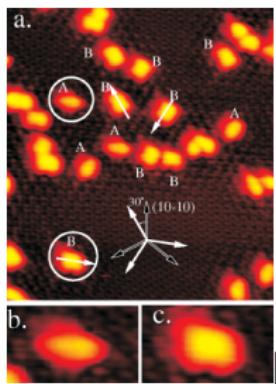
S. Casolo, O.M. Lovvik, R. Martinazzo and G.F. Tantardini, *J. Chem. Phys.* **130** 054704 (2009)
 Preferential sticking: L. Hornekaer *et al.*, *Phys. Rev. Lett.* **96** 156104 (2006)



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Dimers



[1] L. Hornekaer, Z. Sljivancanin, W. Xu, R. Otero, E. Rauls, I. Stensgaard, E. Laegsgaard, B. Hammer and F. Besenbacher. *Phys. Rev. Lett.* **96** 156104 (2006)

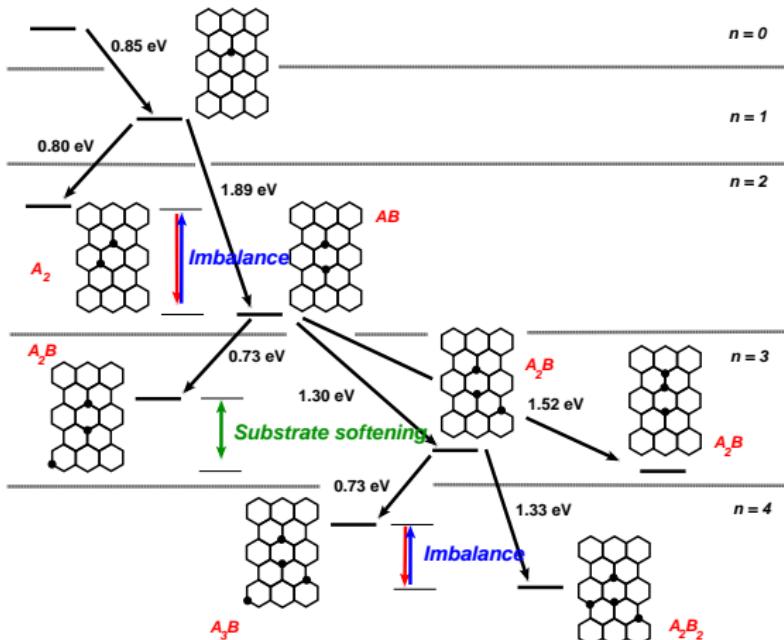
[2] A. Andree, M. Le Lay, T. Zecho and J. Kupper, *Chem. Phys. Lett.* **425** 99 (2006)



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Clusters





Outline

1 Adsorption energetics

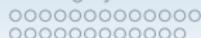
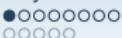
2 Eley-Rideal reaction dynamics

- Reduced-dimensional quantum dynamics
- *Ab initio* molecular dynamics

3 Sticking dynamics

- System-bath modeling
- Quantum dynamics

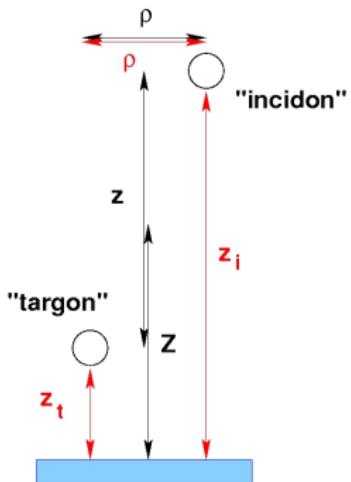




ER Reaction: technicalities

- Rigid, flat surface approximation¹
- Split-Operator with FFT along cartesian coordinates and DBT along ρ ¹
- propagation in both product and reagent coordinate sets²
- DFT PES fitted to modified LEPS³

⇒ state-to-state, energy-resolved cross sections for all possible processes



[1] M. Persson and B. Jackson, J. Chem. Phys. 102, 1078 (1995); D. Lemoine and B. Jackson, Comput. Phys. Commun. 137, 415 (2001)

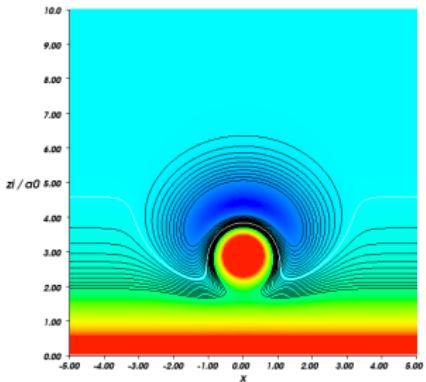
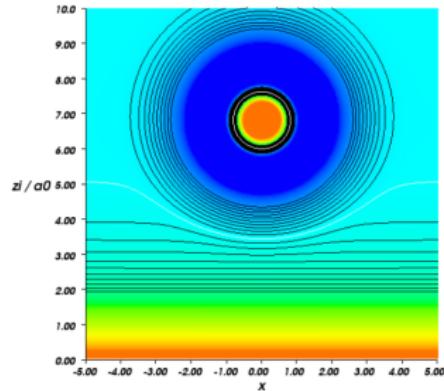
[2] R. Martinazzo and G.F. Tantardini, J. Phys. Chem. A, 109 (2005) 9379; J. Chem. Phys. 124, 124703 (2006); J. Chem. Phys. 124, 124704 (2006)

[3] X. Sha, B. Jackson and D. Lemoine, J. Chem. Phys. 116, 7158 (2002)

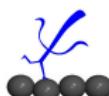




ER Reaction: Potential Energy Surfaces

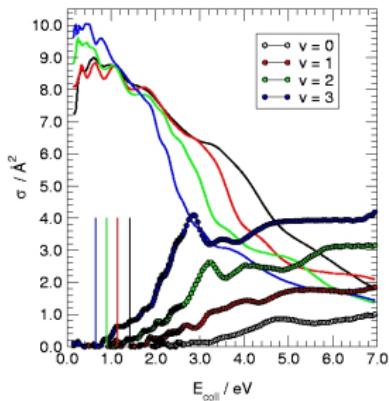
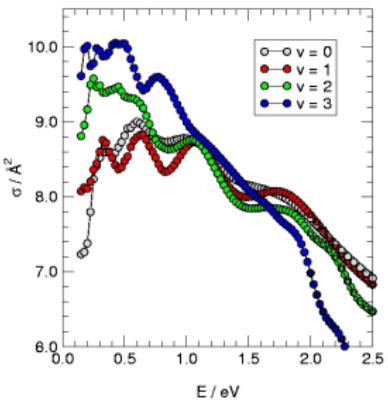
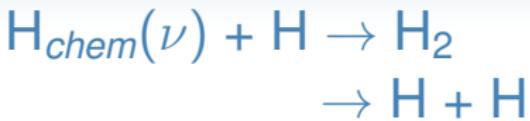
Chemisorbed target H (z_{eq})Physisorbed target H (z_{eq})

X. Sha, B. Jackson and D. Lemoine, J. Chem. Phys. 116, 7158 (2002)

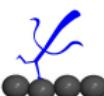


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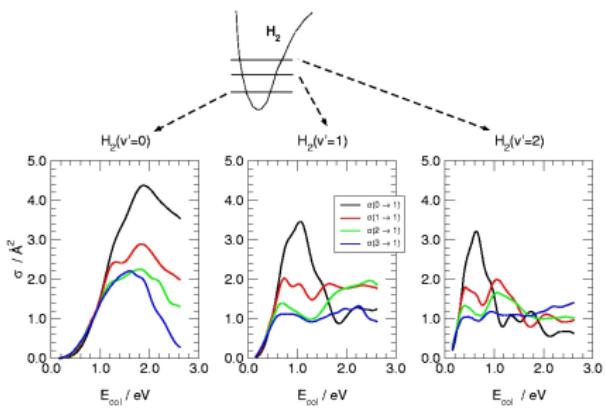
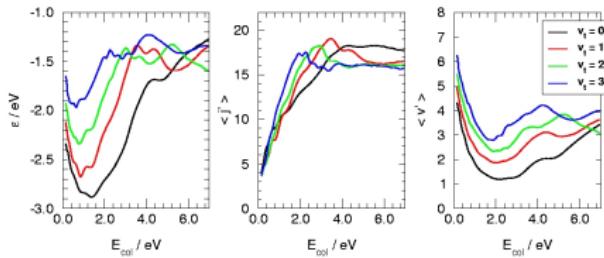


Oscillations in both ER and CID xsections



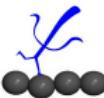
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- Product molecules are internally hot
- Internal excitation is a steep decreasing function of E_{coll}

R. Martinazzo and G.F. Tantardini, *J. Phys. Chem. A* **109**, 9379; *J. Chem. Phys.* **124** 124272 (2006)





ER Reaction: technicalities (low E_{col})

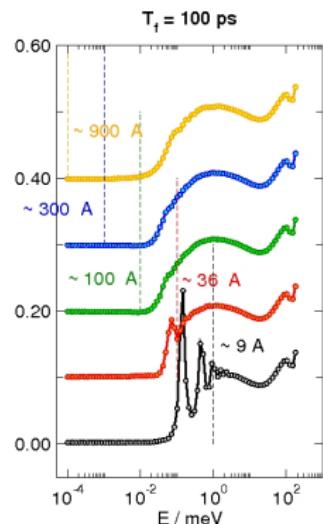
- Two-wavepacket approach¹
- Transmission-free² absorbing potentials and Fourier mapping³ in reagent coordinates

In 3D $T_f=25\text{-}30 \text{ ps}$ and AP lengths $\sim 50 \text{ \AA}$ in order to get converged xsections down to $\sim 10^{-5} \text{ eV}$, i.e. $\sim 0.1 \text{ K}$

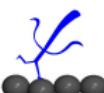
[1] R. Martinazzo and G.F. Tantardini, J. Chem. Phys. 122, 094109 (2005)

[2] D. Manolopoulos, J. Chem. Phys. 117, 9552 (2002)

[3] A.G. Borisov, J. Chem. Phys. 114, 7770 (2001)

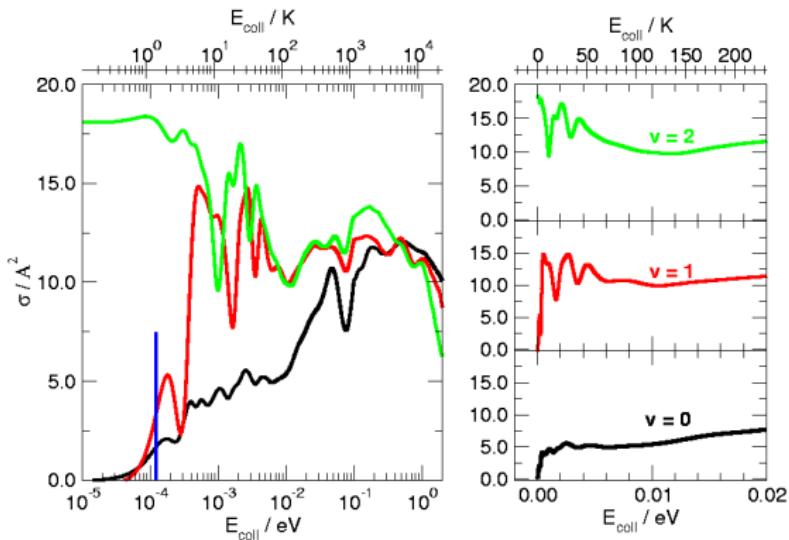


2WP

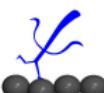


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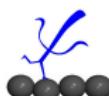
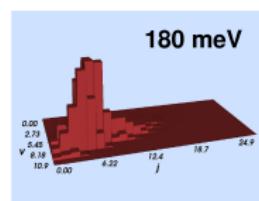
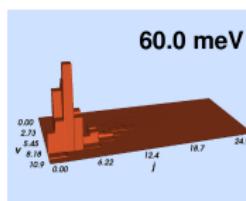
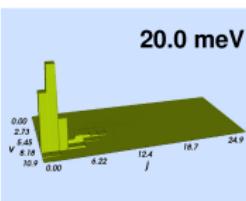
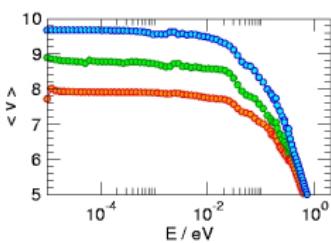
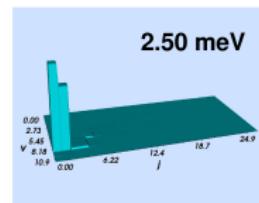
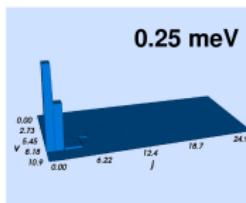
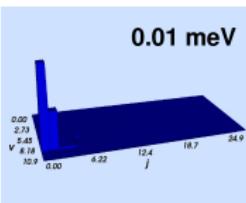
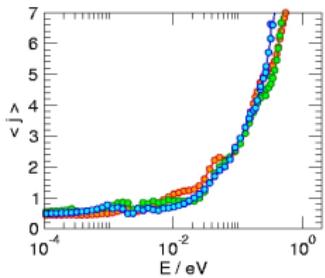


S. Casolo, M. Bonfanti, R. Martinazzo and G.F. Tantardini, *J. Phys. Chem. A*, 113 14545 (2009)



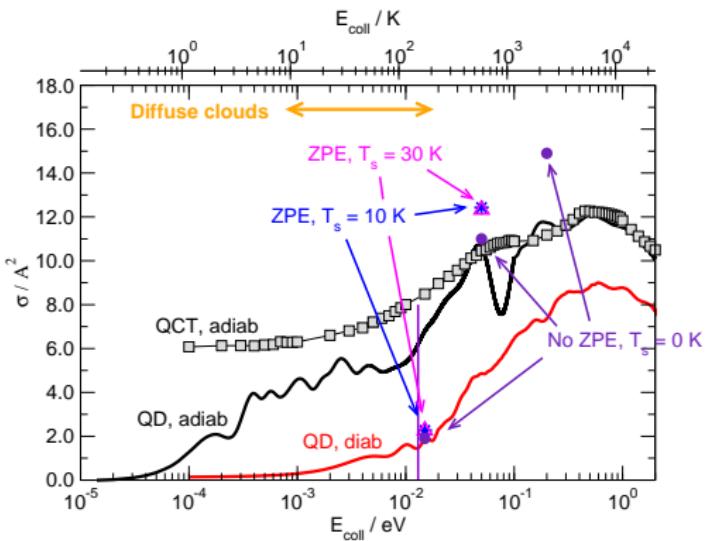
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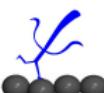


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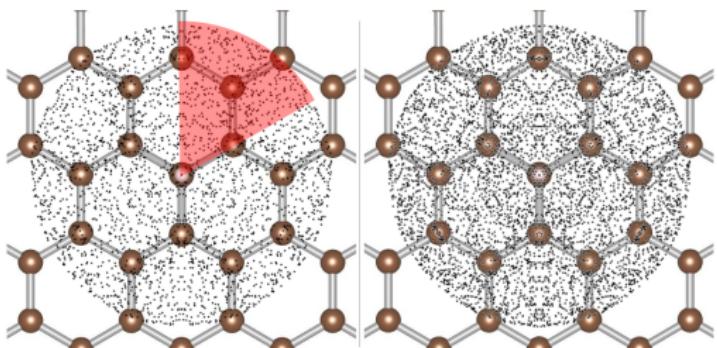
M. Sizun, D. Bachellerie, F. Anguillon, V. Sidis *Chem. Phys. Lett.* 32 498 2010
D. Bachellerie, M. Sizun, F. Anguillon, D. Teillet-Billy, N. Rougeau, *Phys. Chem. Chem. Phys.* 2715 11 2009



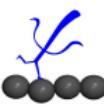


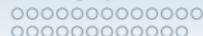
Ab initio molecular dynamics

- lattice **corrugation**
- lattice **dynamics**
- **dimer formation**

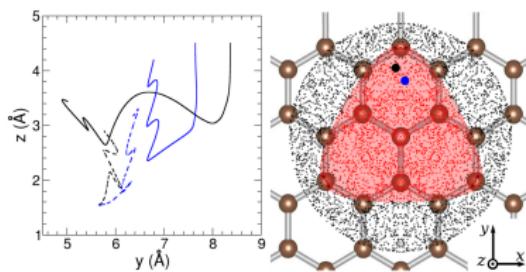
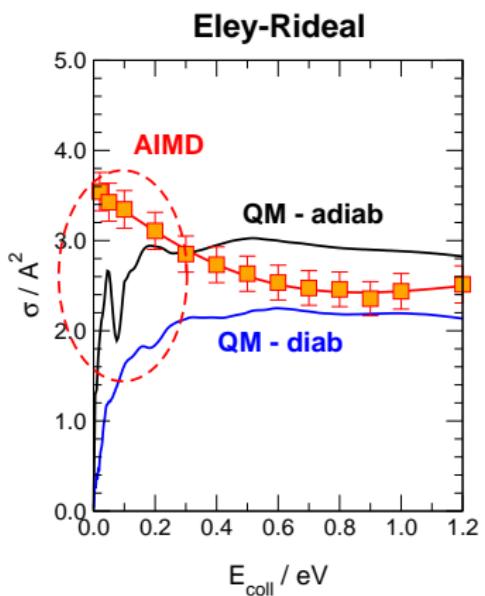


..**expensive**, but solves the problem of **computing** and **fitting** a model potential





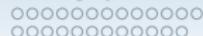
Ab initio molecular dynamics



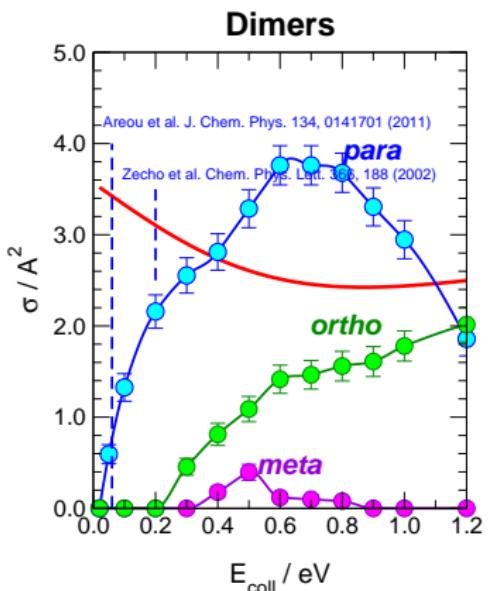
- Scattering off *para* sites **increases** the reaction xsection at low energies
- diabatiC < AIMD < adiabatiC at higher energies

S. Casolo, G.F. Tantardini and R. Martinazzo, PNAS 110 6674 (2013)



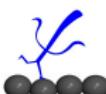


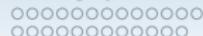
Ab initio molecular dynamics



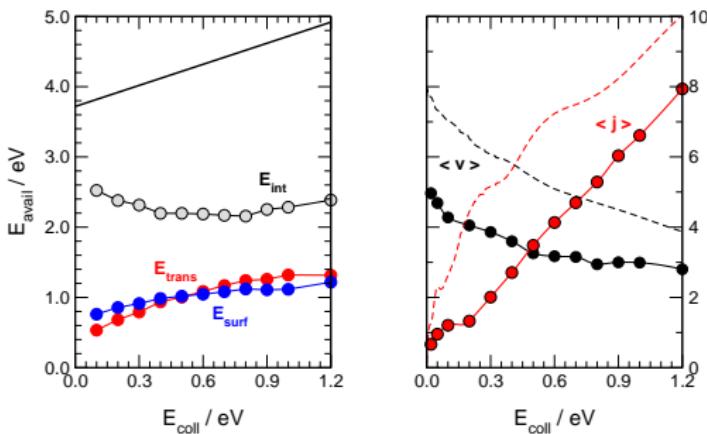
- Competition between reaction and *para*-dimer formation
- Dynamic threshold to *ortho*-dimer formation

..experiments with low energy H atom beams on a pre-covered (low coverage) surface find abstraction only



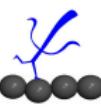


Ab initio molecular dynamics



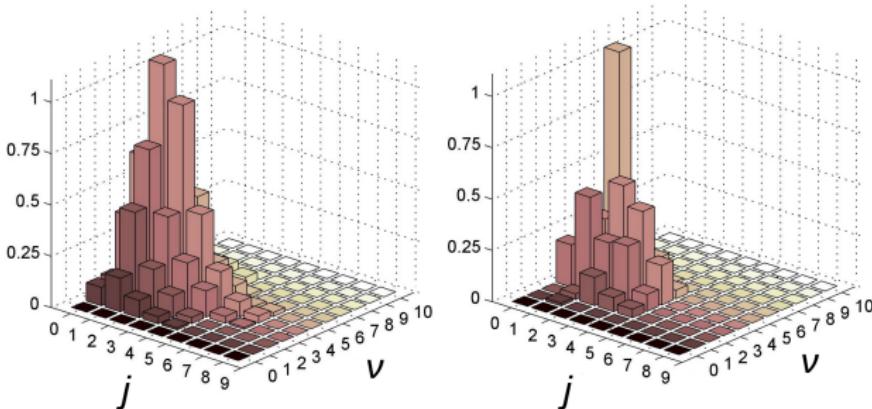
..most of energy is **internal**, but energy transfer to the surface is **considerable**

E_{surf} compares well with ~ 0.8 eV of the substrate diabatic picture





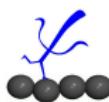
Ab initio molecular dynamics



$T_g = 300K, T_s = 15K$

Latimer et al. Chem. Phys. Lett. 455, 174 (2008)

AIMD for H/D @ 0.025 eV





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Outline

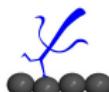
1 Adsorption energetics

2 Eley-Rideal reaction dynamics

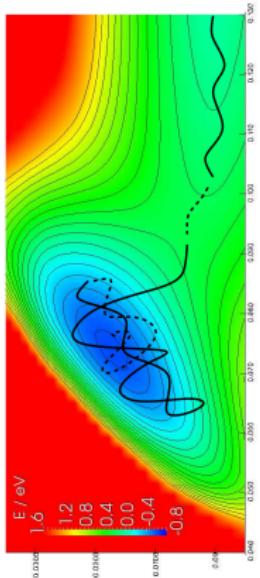
- Reduced-dimensional quantum dynamics
- *Ab initio* molecular dynamics

3 Sticking dynamics

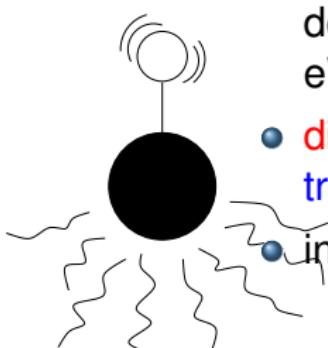
- System-bath modeling
- Quantum dynamics



H sticking dynamics on graphene



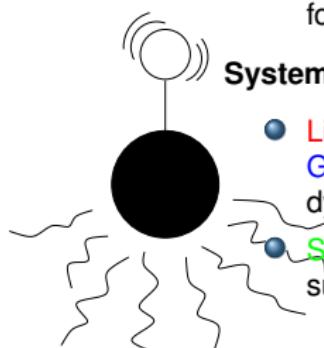
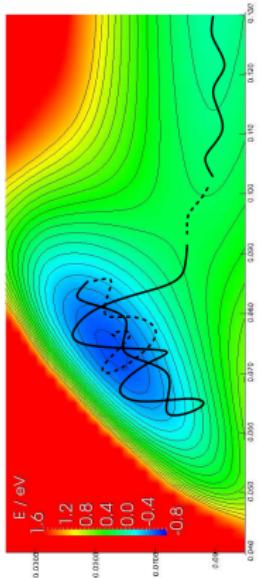
Challenging problem..



- quantum dynamics is needed to describe tunneling for $E_{coll} \leq 0.2$ eV
- dissipation is required to turn trapping into sticking
- involves scattering dynamics



H sticking dynamics on graphene



Fully atomistic models

- Accurate representation of adsorbate-lattice interactions and of lattice dynamics
- Complicated form of the potential, inadequate for Quantum Dynamics

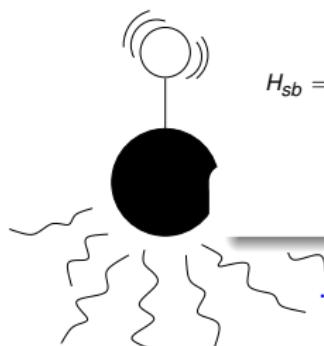
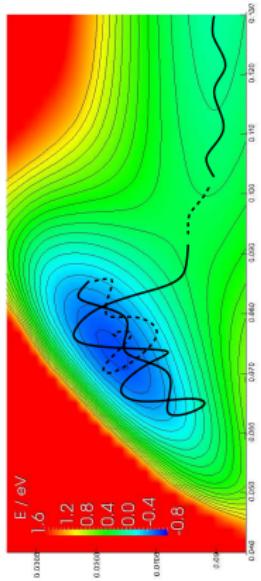
System-bath models

- Limited applicability, though fine iff a Generalized Langevin description of the dynamics holds
- Simplest possible form for an environment, suited to Quantum Dynamics





System-bath modeling



Lattice

$$H_{latt} = \frac{p_s^2}{2m_s} + V(s, q) + \sum_i \frac{p_i^2}{2m_i}$$

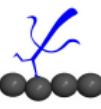
vs.

System-bath

$$H_{sb} = \frac{p_s^2}{2m_s} + \tilde{V}(s) + \sum_k \left[\frac{p_k^2}{2} + \frac{\omega_k^2}{2} \left(q_k - \frac{c_k}{\omega_k^2} f(s) \right)^2 \right]$$

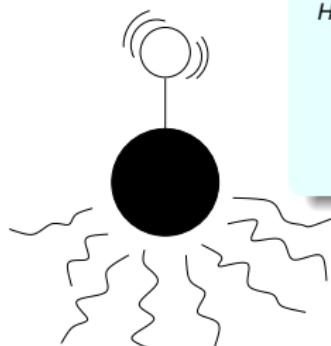
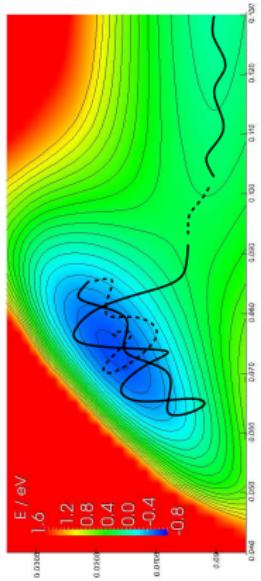
$$\omega_k, c_k \Leftrightarrow J(\omega) \Leftrightarrow \kappa(t)$$

..our Brownian particle should be 'CH'
 \Rightarrow multidimensional GLE
 \Rightarrow spectral density matrix





System-bath modeling: our strategy



$$H = \frac{\mathbf{p}_H^2}{2m_H} + \frac{\mathbf{p}_C^2}{2m_C} + V_s(\mathbf{x}_H, z_C) + \sum_{k=1}^F \left[\frac{\mathbf{p}_k^2}{2} + \frac{\omega_k^2}{2} \left(q_k - \frac{c_k}{\omega_k^2} (z_C - z_C^{eq}) \right)^2 \right]$$

..only z_C couples to the lattice,

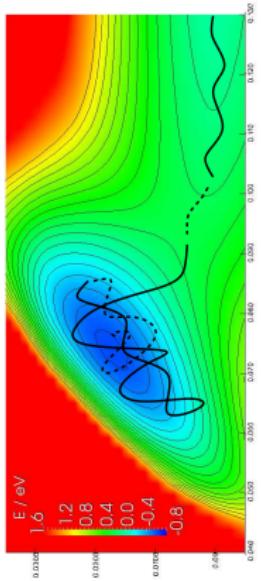
$$V_s(\mathbf{x}_H, z_C) = \text{Min}_{\{\mathbf{Q}\}} V_{tot}(\mathbf{x}_H, z_C, \mathbf{Q})$$

..and we need the environmental coupling $J_C(\omega)$



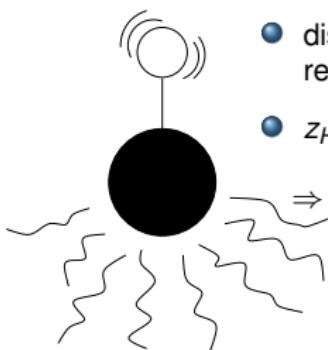


System-bath modeling: our strategy



Environmental coupling from MD

$\mathbf{H} \rightarrow \mathbf{C} \rightarrow \text{lattice}$

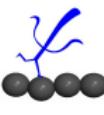


- dissipation **near-equilibrium** configurations is representative of the coupling
- z_H couples **only** to z_C

⇒ Equilibrium classical dynamics: $\delta z_H^i(t)$

$$C_H(t) = \langle \delta z_H(t) \delta z_H(0) \rangle$$

$$(C_H^\nu(t) = \langle \dot{z}_H(t) \dot{z}_H(0) \rangle)$$



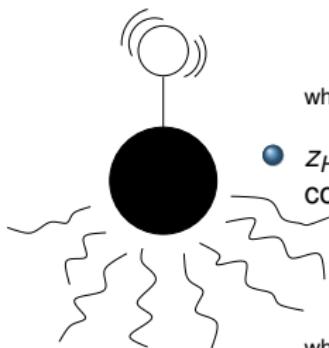
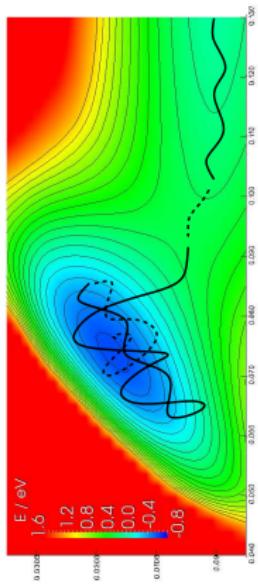


System-bath modeling: our strategy

Environmental coupling from MD

$$C_H(t) \rightarrow J_H(\omega) \rightarrow J_C(\omega)$$

- Z_H undergoes Harmonic Brownian motion^[1]



$$J_H(\omega) = k_B T \frac{\omega \bar{C}_H(\omega)}{|\Gamma^+(\omega)|^2}$$

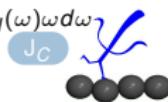
$$\text{where } \Gamma(z) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{\omega \bar{C}_H(\omega)/2}{\omega - z} d\omega$$

- Z_H couples bilinearly to Z_C only which in turn couples to the bath^[2]

$$J_C(\omega) = m_C \frac{D_0^2 J_H(\omega)}{|W_H^+(\omega)|^2}$$

where

$$W_H(z) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{J_H(\omega)}{\omega - z} d\omega, D_0^2 = \frac{2}{\pi} \int_0^{+\infty} J_H(\omega) \omega d\omega$$

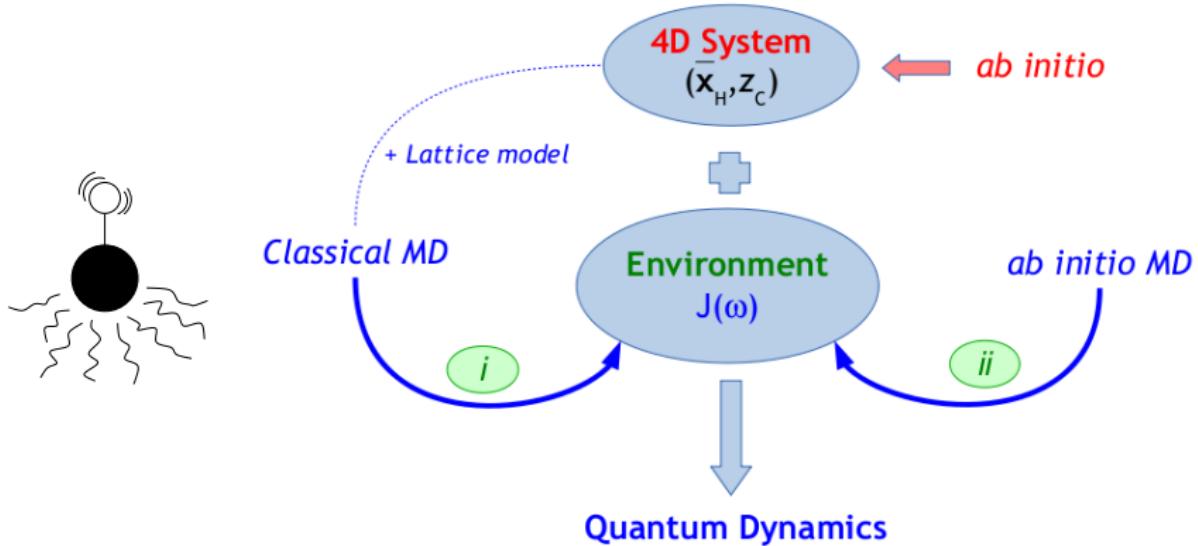


[1] See e.g. M. Bonfanti *et al.*, *Ann. Phys.*, in press

[2] R. Martinazzo *et al.*, *J. Chem. Phys.* **134** 011101 (2011)

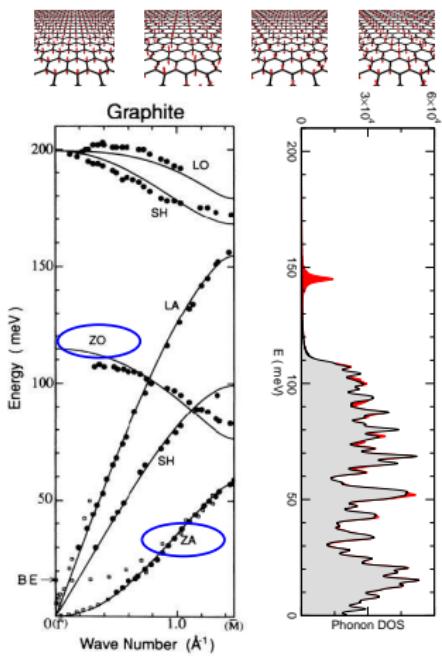


System-bath modeling: our strategy





Atomistic model for MD^[1] (i)



CH system

Plane-wave DFT PW91 on a dense x_H, z_C grid^[2]

Lattice

$$H_{latt} = \sum_i^N \frac{p_i^2}{2m_i} + V_{latt}(z_1, z_2, \dots z_N)$$

Lattice model of graphene^[3] containing stretching, bending, twisting modes, restricted to ZA, ZO branches only

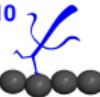
SO-like Coupling

$$\begin{aligned} V_{CH}(x_H, z_C, q^{eq}) &\Rightarrow \\ V_{CH}(x_H, y_H, z_H - Q, z_C - Q, q^{eq}) - \frac{k_C}{2}(z_C - Q)^2 \\ Q &= (z_1 + z_2 + z_3)/3 \end{aligned}$$

[1] J. Kerwin and B. Jackson, *J. Chem. Phys.* 128, 084702 (2008)

[2] J. Kerwin, X. Sha and B. Jackson, *J. Phys. Chem. B*, 18811 110 (2006)

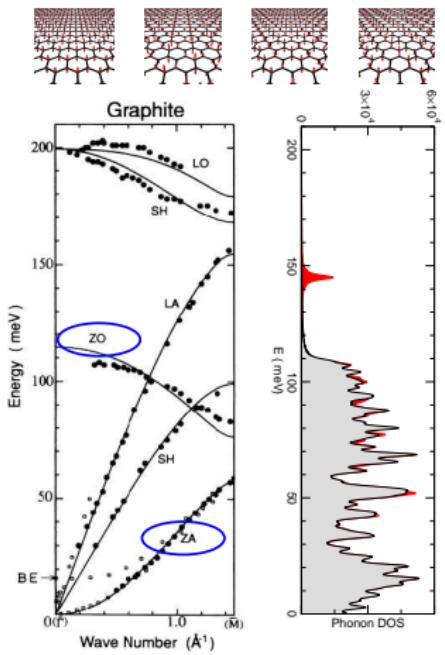
[3] T. Aizawa *et al.*, *Phys. Rev. B*, 11469 42 (1990)



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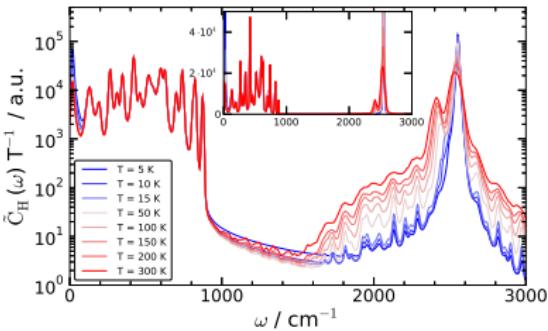
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Atomistic model for MD (i)

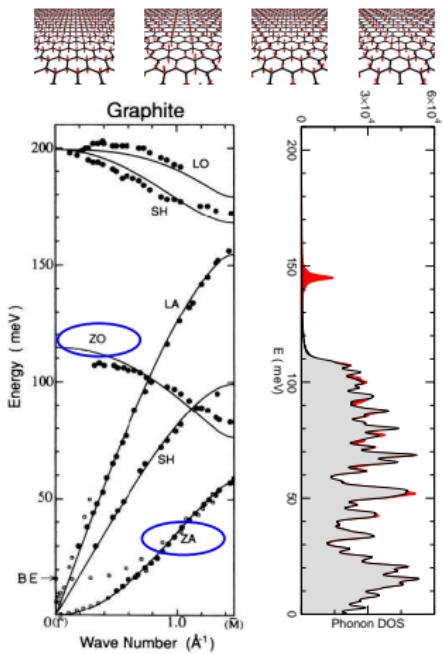


Canonical MD

- Finite slab with 120 carbon atoms
- Equilibration at different T
- 1000 trajectories with Langevin atoms at the slab edges
- $t_{fin} = 10 \text{ ps}$

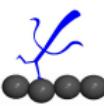
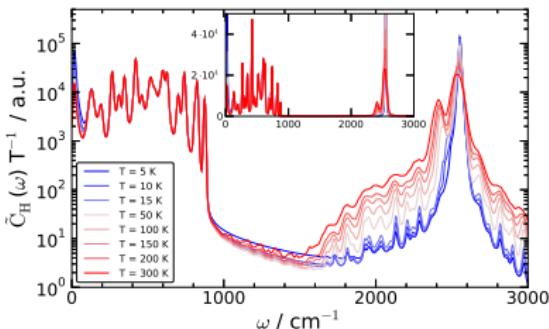


Atomistic model for MD (i)



Canonical MD
..the high frequency region $\omega > \omega_D$ is markedly T -dependent

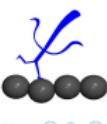
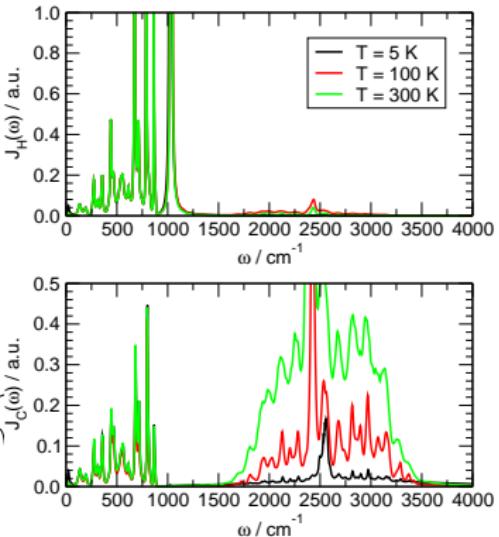
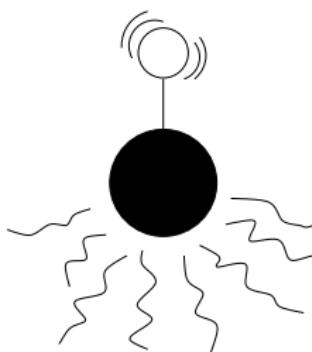
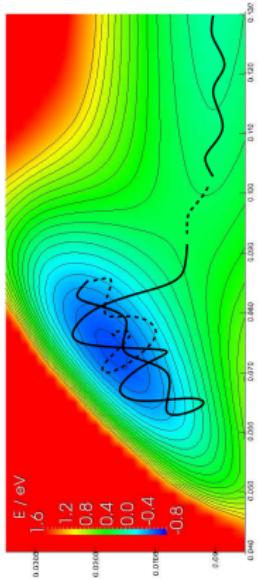
- Anharmonicity in the system potential
- Failure of the bilinear coupling model
- bending-mediated relaxation
- dissipative-like propagation conditions in MD






Environment from MD (i)

$$C_H(t) \rightarrow J_H(\omega) \rightarrow J_C(\omega)$$

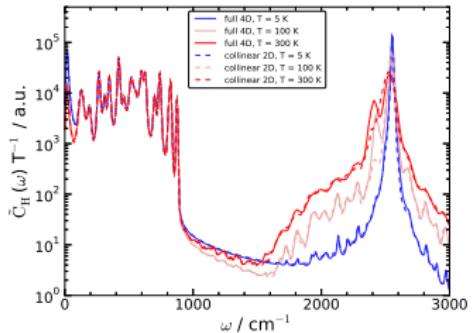


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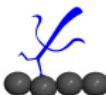
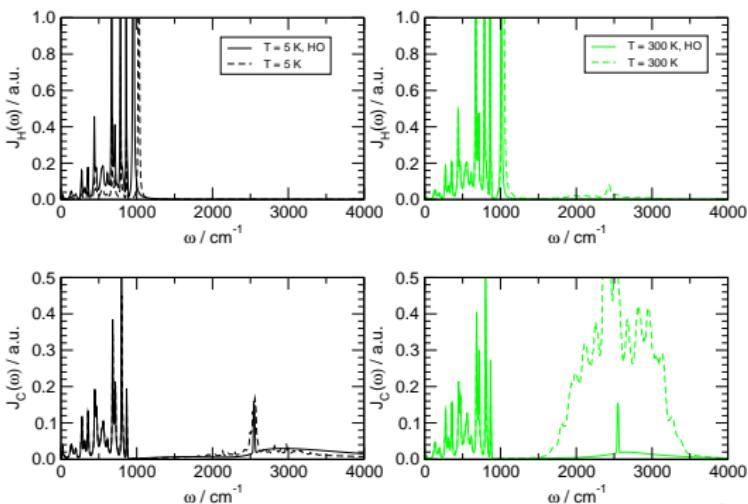
Environment from MD (i)

4D vs. 2D



high- ω features are mainly due to the anharmonicity in the CH potential

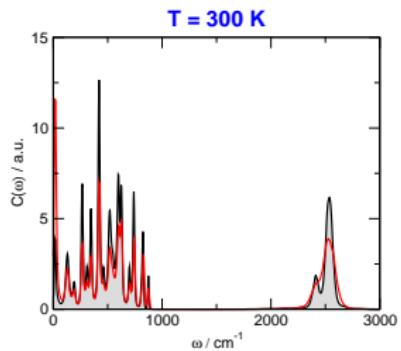
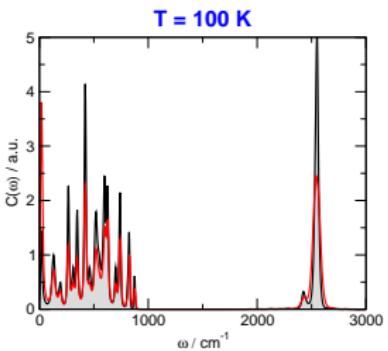
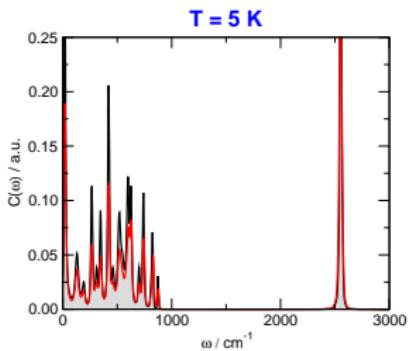
CH harmonic



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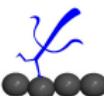
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Environment from MD (i)



Lattice - IO Bath ($J_{5K}(\omega)$)

..using the low frequency region only, $\omega < 1000 \text{ cm}^{-1}$





Vibrational relaxation ($T_s = 0$ K) with MCTDH

$$\Psi(\mathbf{x}_H, z_C, \mathbf{Q}_1, \dots, \mathbf{Q}_N) = \sum_{\mathbf{i}} c_{\mathbf{i}} \psi_{i_s}(\mathbf{x}_H, z_C) \phi_{i_1}^{(1)}(\mathbf{Q}_1) \dots \phi_{i_N}^{(N)}(\mathbf{Q}_N)$$

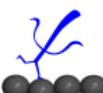
- Hermite-DVR for system's spfs $\psi_{i_1}(\mathbf{x}_H, z_C)$
- Mode combination & Hermite-DVR for bath spfs $\phi_i^{(k)}(\mathbf{Q}_k)$
- Product initial state, $\psi_{i_s}(\mathbf{x}_H, z_C) \dots \phi_{i_N}^{(N)}(\mathbf{Q}_N)$
- Lanczos diagonalization to obtain the 4D eigenvalues and eigenfunctions





Vibrational relaxation

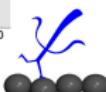
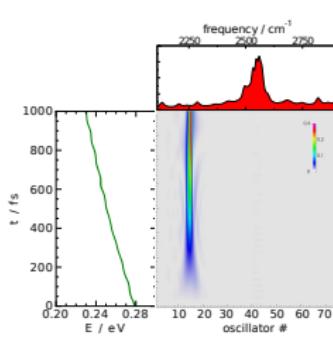
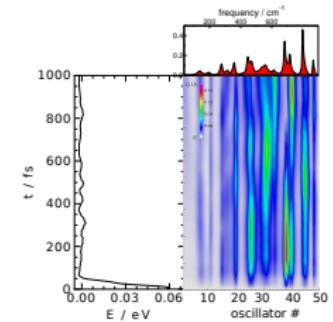
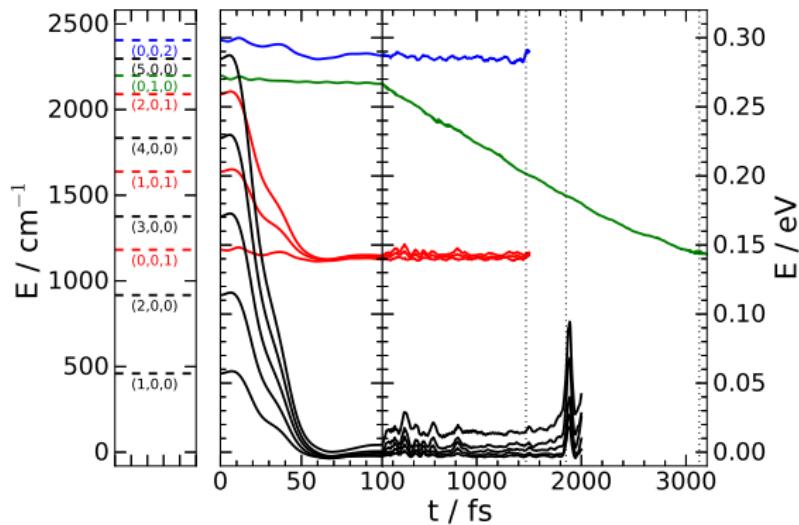
Bath size	F = 50	F = 75	F = 75
Frequency range / cm ⁻¹	[0, 900]	[2100, 2900]	[0,900] + [2100,2900]
System	8	8	7
$q_1 \dots q_5$	3	2	2
$q_6 \dots q_{10}$	3	2	3
$q_{11} \dots q_{15}$	3	3	3
$q_{16} \dots q_{20}$	4	3	3
$q_{21} \dots q_{25}$	4	3	3
$q_{26} \dots q_{30}$	4	3	3
$q_{31} \dots q_{35}$	4	3	3
$q_{36} \dots q_{40}$	4	3	3
$q_{41} \dots q_{45}$	4	3	2
$q_{46} \dots q_{50}$	3	2	2
$q_{51} \dots q_{55}$		2	2
$q_{56} \dots q_{60}$		2	4
$q_{61} \dots q_{65}$		2	2
$q_{66} \dots q_{70}$		2	2
$q_{71} \dots q_{75}$		2	2



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Vibrational relaxation from $(\nu_{s,surf}, \nu_{s,CH}, \nu_b)$



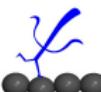


Sticking ($T_s = 0$ K) with MCTDH

$$\Psi(\mathbf{x}_H, z_C, \mathbf{Q}_1, \dots, \mathbf{Q}_N) = \sum_{\mathbf{I}} c_{\mathbf{I}} \psi_{i_s}(\mathbf{x}_H, z_C) \phi_{i_1}^{(1)}(\mathbf{Q}_1) \dots \phi_{i_N}^{(N)}(\mathbf{Q}_N)$$

- Fourier grid representation of system's spfs $\psi_{i_1}(\mathbf{x}_H, z_C)$
- Mode combination & Hermite-DVR for bath spfs $\phi_i^{(k)}(\mathbf{Q}_k)$
- Product initial state, $\psi_{scatt}(\mathbf{x}_H, z_C) \dots \phi_{\nu=0}(q_k) \dots$
- Time-energy mapping

M.H. Beck, A. Jackle, G.A. Worth, H.-D. Meyer, *Phys. Rep.* **324** 1 (2000)

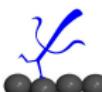




2D Sticking ($T_s = 0$ K) with MCTDH

Average momentum (a_0^{-1})	3	4.5	5	7	8.5	10
z_H wavepacket width (a_0)	1.1	0.8	0.8	0.45	0.45	0.45
Final propagation time (fs)	1200.	900.	800.	600.	600.	600.
z_H grid minimum (a_0)	1.5	1.5	1.5	1.0	1.0	1.0
z_H grid maximum (a_0)	30.0	24.0	24.0	18.0	16.0	15.0
z_C grid minimum (a_0)	-0.8	-0.8	-0.8	-0.8	-0.8	-0.8
z_C grid maximum (a_0)	1.8	1.8	1.8	1.8	1.8	1.8
AP strength (E_h)	$1.4 \cdot 10^{-6}$	$1.4 \cdot 10^{-5}$	$1.62 \cdot 10^{-5}$	$8.97 \cdot 10^{-5}$	$4.174 \cdot 10^{-4}$	$1.2 \cdot 10^{-3}$
z_H of the flux line (a_0)	14.	14.	14.	11.	11.	11.

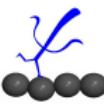
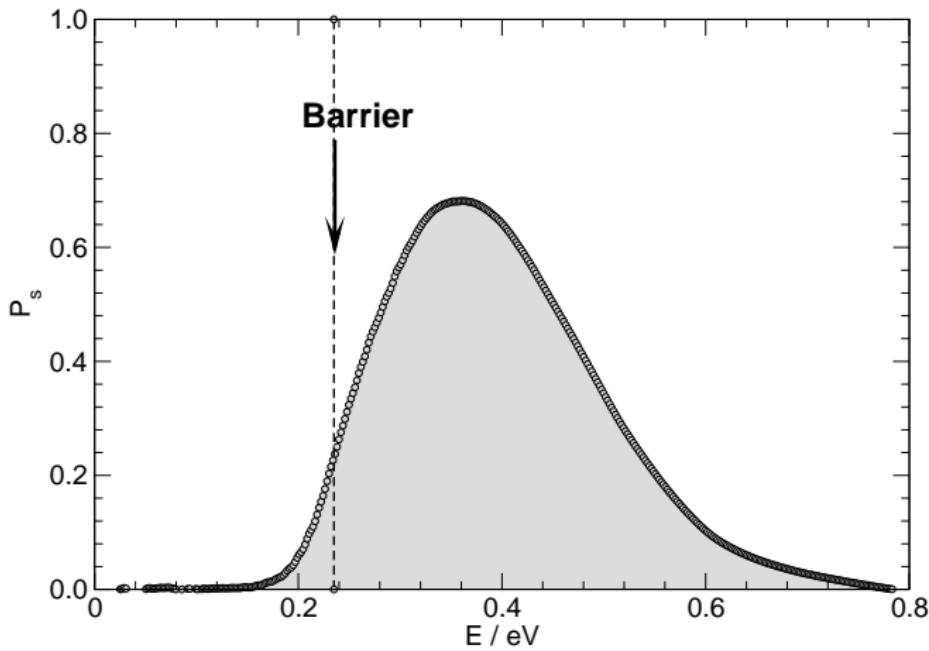
Table: Grid and initial wavefunction parameters (system only)



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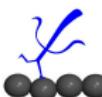
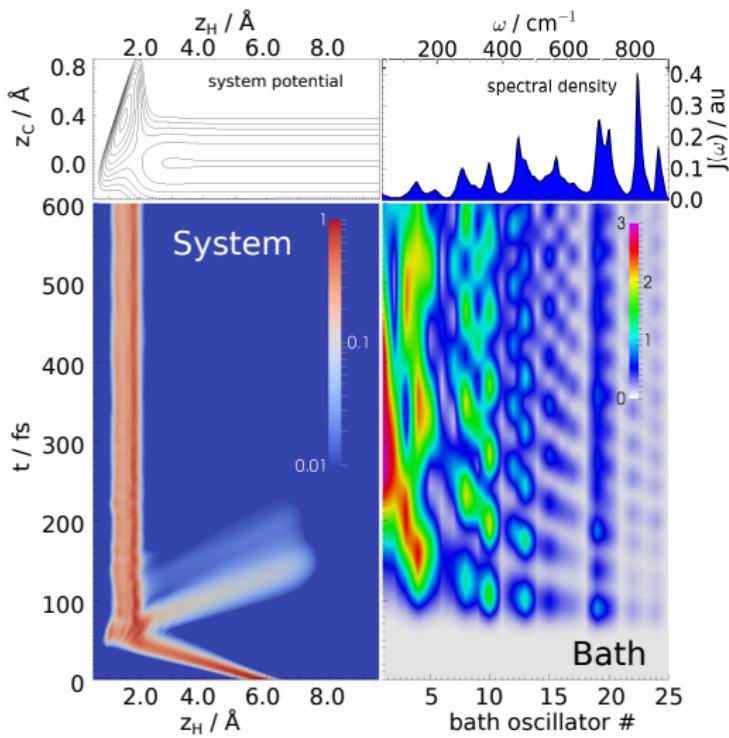
2D Sticking ($T_s = 0$ K) with MCTDH



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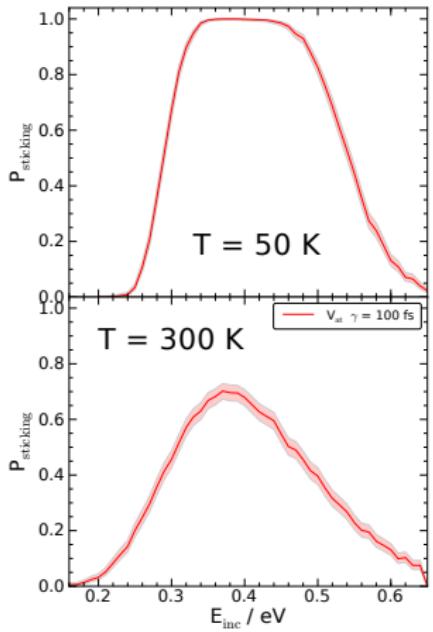
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2D Sticking ($T_s = 0$ K) with MCTDH





..insights from classical dynamics



MD with the atomistic potential V_{at}

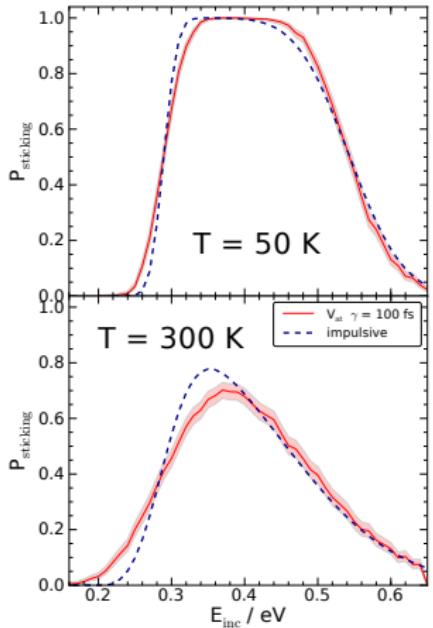
- Barrier-crossing at low energies
- Energy transfer at high energies

..both depend on the thermal agitation of the carbon atoms





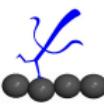
..insights from classical dynamics



Simple, **impulsive model**:
barrier energy E_b , well depth D

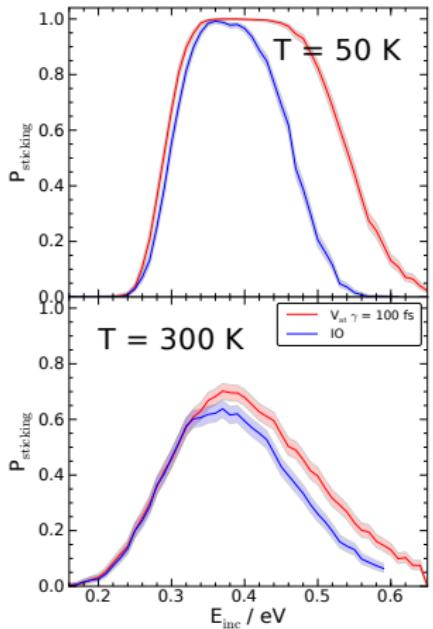
- **Barrier-crossing:** $E_{\text{rel}} > E_b$
 $\Rightarrow v_C \in [-|v_H| + v_b, \infty)$
- **Energy transfer:** $\delta\epsilon > E - E_b$
 $\Rightarrow v_C \in [v_-, v_+]$
 $v_{\pm}(E) = -\frac{1-x}{2}|\tilde{v}_H| \pm \frac{1+x}{2}v_0$

$$P_s(E) = \int_{\Sigma(E)} g_{cl}(v) dv$$





..insights from classical dynamics



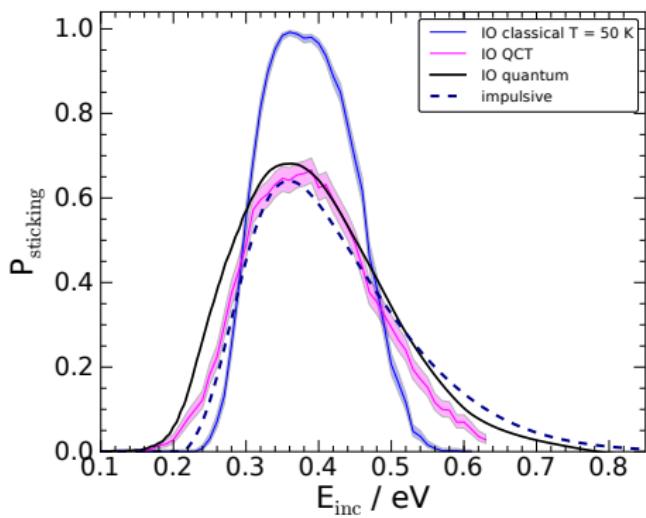
IO vs. lattice dynamics

- Good agreement at low energies
- not enough energy transfer at high energies

..the IO model is a good **surrogate** of the atomistic model in the region most interesting for **quantum dynamics**



..insights from classical dynamics



Impulsive model +
quantum bath

$$g_{cl}(v) \Rightarrow g_q(v)$$

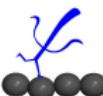
velocity distribution function
of the C atom oscillator **coupled** a bath

$$g_q(v) = \sqrt{\frac{m_c}{\pi \hbar \Omega_T}} e^{-\frac{m_c v^2}{\hbar \Omega_T}}$$

$$\Omega_T = \frac{\int_0^{+\infty} d\omega J_H(\omega) \omega^2 \coth\left(\frac{\hbar \omega}{2k_B T}\right)}{\int_0^{+\infty} d\omega J_H(\omega) \omega}$$

$$P_s(E) = \int_{\Sigma(E)} g_q(v) dv$$

gq





Summary

- Midgap states modulate reactivity: dimers (cluster) which minimize sublattice imbalance form easily
- Substrates (even if not strongly binding) may affect chemical activity
- Eley-Rideal reaction out of chemisorbed species is reasonably efficient and dominates over dimer formation
- Sticking dynamics can be investigated at a full quantum level



Acknowledgements

Gian Franco Tantardini



Simone Casolo

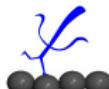


Matteo Bonfanti



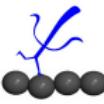
<http://users.unimi.it/cdtg>

Bret Jackson
Keith Hughes
Irene Burghardt

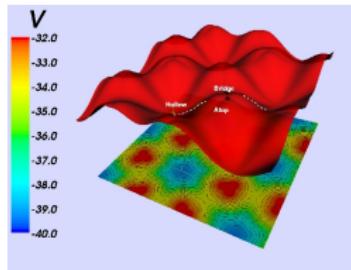
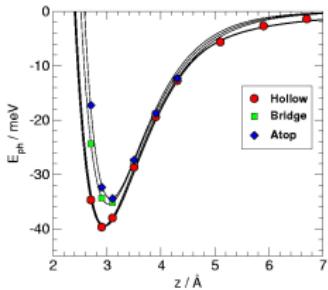


Acknowledgements

Thank you for your attention!



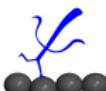
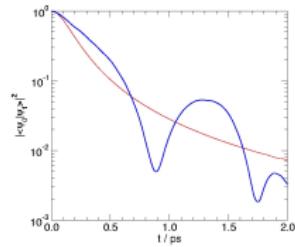
Physisorption



- HF-MP2 / aug-cc-pVDZ + BFs / CP-BSSE
- $D_e = 39.5 \text{ meV}$ vs $D_e(\text{exp}) = 39.2 \pm 0.5 \text{ meV}$
- $E_{barr} = 4.0 \text{ meV}$, $D_{T=0K} = 1.7 \cdot 10^{-4} \text{ cm}^2 \text{s}^{-1}$

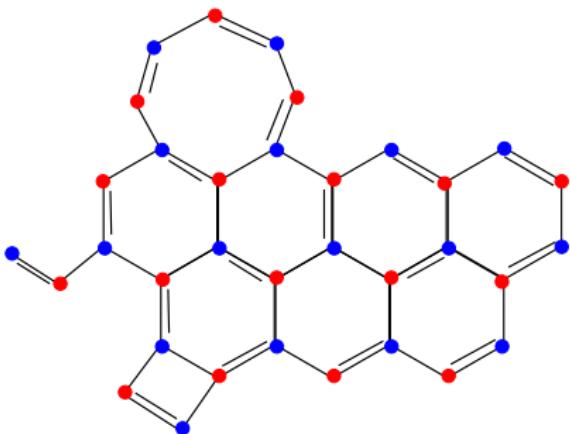
M. Bonfanti, R. Martinazzo, G.F. Tantardini and A. Ponti, *J. Phys. Chem. C*, **111**, 5825 (2007)

Exp: E. Ghio et al., *J. Chem. Phys.*, **73**, 596 (1980)



Midgap states

$$H^\pi \approx \sum_{\sigma,ij} (t_{ij} \mathbf{a}_{i,\sigma}^\dagger \mathbf{b}_{j,\sigma} + t_{ji} \mathbf{b}_{j,\sigma}^\dagger \mathbf{a}_{i,\sigma})$$



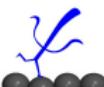
Electron-hole symmetry

$$b_i \rightarrow -b_i \implies H_e^\pi \rightarrow -H_e^\pi$$

$$\epsilon_i, |\psi_i^{(+)}\rangle = \sum_k \alpha_k |\mathbf{a}_k\rangle + \sum_j \beta_i |\mathbf{b}_j\rangle$$

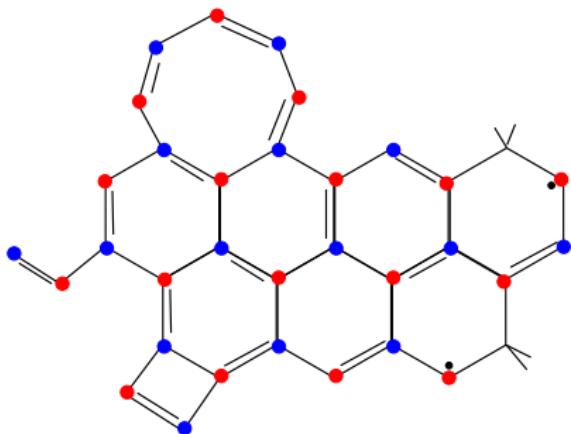
↓

$$-\epsilon_i, |\psi_i^{(-)}\rangle = \sum_k \alpha_k |\mathbf{a}_k\rangle - \sum_j \beta_i |\mathbf{b}_j\rangle$$



Midgap states

$$H^\pi \approx \sum_{\sigma,ij} (t_{ij} \mathbf{a}_{i,\sigma}^\dagger \mathbf{b}_{j,\sigma} + t_{ji} \mathbf{b}_{j,\sigma}^\dagger \mathbf{a}_{i,\sigma})$$

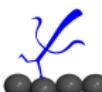


Imbalance rule

Let $n_A > n_B$, $\mathbf{T}(n_B \times n_A)$

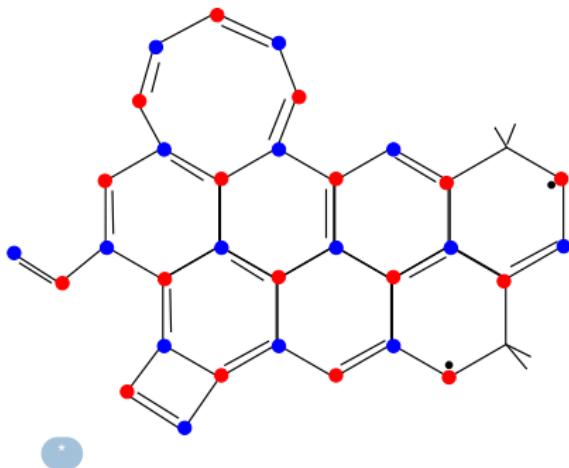
$$\begin{bmatrix} \mathbf{0} & \mathbf{T}^\dagger \\ \mathbf{T} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ \mathbf{0} \end{bmatrix}$$

$\Rightarrow \mathbf{T}\alpha = \mathbf{0}$ has $n_A - n_B$ solutions



Midgap states

$$H^\pi \approx \sum_{\sigma,ij} (t_{ij} \mathbf{a}_{i,\sigma}^\dagger \mathbf{b}_{j,\sigma} + t_{ji} \mathbf{b}_{j,\sigma}^\dagger \mathbf{a}_{i,\sigma}) + U \sum_i n_{i,\tau} n_{i,-\tau}$$

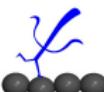


Spin alignment

If $U > 0$, the ground-state at *half-filling* has

$$S = |n_A - n_B|/2 = n_I/2$$

E.H. Lieb, *Phys. Rev. Lett.* **62**, 1201 (1989)



Two-wavepacket approach

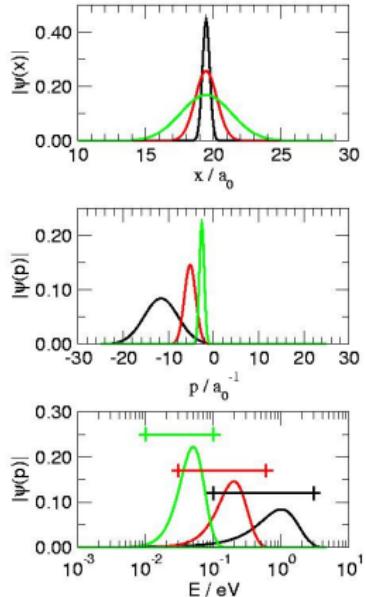
1WP asymptotic conditions:

- (i) $|\Psi_0\rangle = |\psi_0\rangle |\alpha\rangle$ localized in the asymptotic region
- (ii) $|\Psi_0\rangle$ with incoming p components only

for \mathbf{x} everywhere except in the reagent channel..

$$\begin{aligned} \int_0^\infty e^{iEt} \langle \mathbf{x} | \Psi_t \rangle dt &\sim \int_{-\infty}^\infty e^{iEt} \langle \mathbf{x} | \Psi_t \rangle dt \\ &= 2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_0 \rangle \end{aligned}$$

$$\begin{aligned} 2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_0 \rangle &= 2\pi \sum_\beta \langle \mathbf{x} | E\beta+ \rangle \langle E\beta+ | \Psi_0 \rangle \\ &\sim 2\pi \langle \mathbf{x} | E\alpha+ \rangle \langle E\alpha+ | \Psi_0 \rangle \end{aligned}$$



Two-wavepacket approach

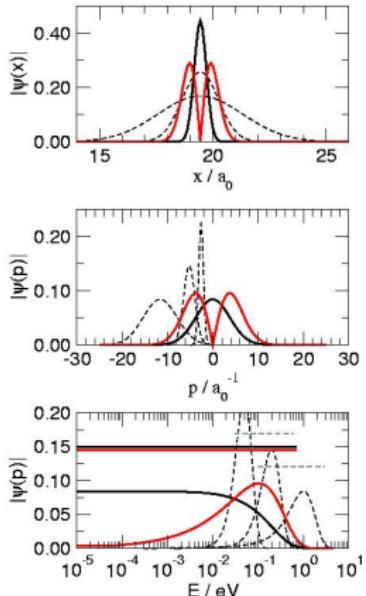
2WP asymptotic conditions:

(i) $|\Psi_i\rangle = |\psi_i\rangle |\alpha\rangle$ ($i=1,2$) localized in the asymptotic region

for \mathbf{x} everywhere..

$$\int_{-\infty}^{\infty} e^{iEt} \langle \mathbf{x} | \Psi_t \rangle dt = 2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_0 \rangle$$

$$2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_i \rangle = \frac{2\pi}{\sqrt{V}} \left\{ \langle \mathbf{x} | E\alpha+ \rangle \psi_i(-\bar{p}) - \sum_{\beta} S_{\alpha\beta}^*(E) \langle \mathbf{x} | E\beta+ \rangle \psi_i(\bar{p}) \right\}$$



Two-wavepacket approach

Solve the **2x2 system** for the unknown $X = \langle \mathbf{x} | E\alpha + \rangle ..$

$$\begin{aligned}\langle \mathbf{x} | E\alpha + \rangle = & \frac{1}{2\pi} \frac{\sqrt{\bar{v}}}{\psi_1(-\bar{p})\psi_2(\bar{p}) - \psi_1(\bar{p})\psi_2(-\bar{p})} \\ & \left\{ \psi_2(\bar{p})2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_1 \rangle \right. \\ & \left. - \psi_1(\bar{p})2\pi \langle \mathbf{x} | \delta(E - H) | \Psi_2 \rangle \right\}\end{aligned}$$

..and exploit **time-reversal symmetry** to get rid of the backward propagation

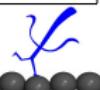
$$\begin{aligned}\langle \mathbf{x} | E\alpha + \rangle = & \frac{1}{2\pi} \frac{\sqrt{\bar{v}}}{i\text{Im}(\psi_1^*(\bar{p})\psi_2(\bar{p}))} \\ & \left\{ \psi_2(\bar{p})\text{Re} \int_0^\infty e^{iEt} \langle \mathbf{x} | \Psi_{1,t} \rangle dt \right. \\ & \left. - \psi_1(\bar{p})\text{Re} \int_0^\infty e^{iEt} \langle \mathbf{x} | \Psi_{2,t} \rangle dt \right\}\end{aligned}$$

Two-wavepacket approach

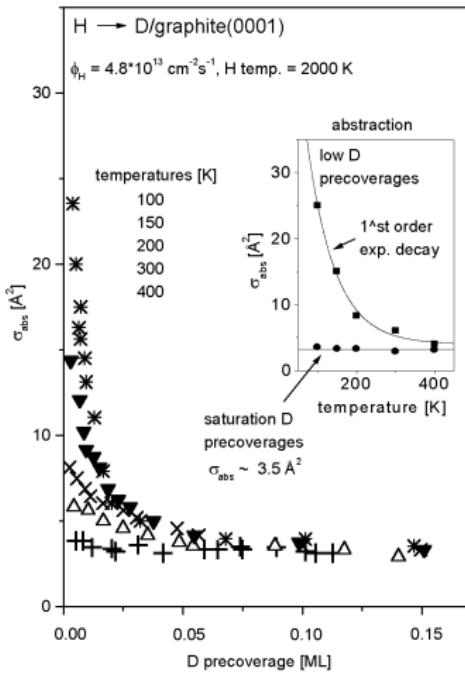
Further simplifications arise from the use of ‘even’ and ‘odd’ momentum wavepackets..

$$\langle \mathbf{x} | E\alpha+ \rangle = \frac{1}{2\pi} \sqrt{\bar{v}} e^{-i\bar{p}x_0} \left\{ \frac{1}{\phi_g(\bar{p})} \operatorname{Re} \int_0^\infty e^{iEt} \langle \mathbf{x} | \Psi_{g,t} \rangle dt - \frac{i}{\phi_u(\bar{p})} \operatorname{Re} \int_0^\infty e^{iEt} \langle \mathbf{x} | \Psi_{u,t} \rangle dt \right\}$$

	1WP	2WP
$\psi_0(x)$	$\psi_0(x) = (2\pi\delta^2)^{-1/4} e^{-(x-x_0)^2/4\delta^2} e^{-ip_0(x-x_0)}$	$\psi_g(x) = (2\pi\delta^2)^{-1/4} e^{-(x-x_0)^2/4\delta^2}$ $\psi_u(x) = (6\pi\delta^2)^{-1/4} e^{-(x-x_0)^2/12\delta^2} (x - x_0)/\sqrt{3}\delta$
$g(E)$	$\sqrt{8\pi}\delta mm' e^{-2\delta^2(p-p_0)^2}/p$	$2^{5/2} 3^{3/4} \sqrt{\pi}\delta^2 mm' e^{-4\delta^2 p}$
$\phi_\beta^\infty(E)$	$\phi_\beta^\infty(E) = \int_0^\infty e^{iEt} \langle R_\infty \beta \Psi_t \rangle dt$	$\phi_\beta^\infty(E) = \operatorname{Re} \int_0^\infty e^{iEt} \langle R_\infty \beta \Psi_{g,t} \rangle dt +$ $-i \operatorname{Re} \int_0^\infty e^{iEt} \langle R_\infty \beta \Psi_{u,t} \rangle dt$



Zecho's kinetic experiments



System-bath

..choosing the system potential

$$V_s(s_1, s_2, \dots s_N) = \text{Min}_{\xi_1, \xi_2, \dots \xi_F} V(s_1, s_2, \dots s_N, \xi_1, \xi_2, \dots \xi_F)$$

In our case,

$$\xi_i \equiv z_i, \quad s_1 = x_H, \quad s_2 = y_H, \quad s_3 = z_H - Q, \quad s_4 = z_C - Q$$

where $Q = \sum_i z_i$ and

$$V = V_{4D}(s_1, s_2, s_3, s_4) + V_{latt}(s_4 + Q, z_1, z_2, \dots z_F) - V_{puck}(s_4)$$



$$V_s(\mathbf{s}) \equiv V_{4D}(\mathbf{s}) - V_{puck}(s_4) + \text{Min}_{z_1, z_2, \dots z_F} V_{latt}(s_4 + Q, z_1, z_2, \dots z_F)$$

$$\text{if } V_{puck}(s) := \text{Min}_{z_1, z_2, \dots z_F} V_{latt}(s + Q, z_1, z_2, \dots z_F) \approx \frac{k_C}{2} s^2$$

$$V_s(\mathbf{s}) \equiv V_{4D}(\mathbf{s})$$

System-bath modeling: our strategy

$$\ddot{z}(t) + \int_{-\infty}^{+\infty} \gamma(t-\tau) \dot{z}(\tau) d\tau + \omega_0^2 z(t) = \xi(t)/m$$

↓

$$\delta \tilde{z}(\omega) = \frac{1}{m} \frac{\tilde{\xi}(\omega)}{\omega_0^2 - \omega^2 - i\omega\tilde{\gamma}(\omega)}$$

↓

$$\frac{1}{2} \omega \tilde{C}(\omega) = \frac{k_B T}{m} \text{Im} \left(\frac{1}{\omega_0^2 - \omega^2 - i\omega\tilde{\gamma}(\omega)} \right)$$

↓

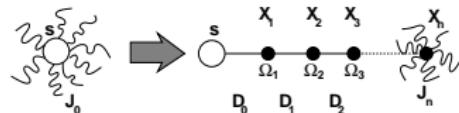
$$S(z) = iz\tilde{C}^>(z) + C(0) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{\omega \tilde{C}(\omega)/2}{\omega - z} d\omega$$

↓

$$S(z) \equiv \frac{k_B T}{m} \frac{1}{\omega_0^2 - z^2 - iz\tilde{\gamma}(z)}$$

↓

$$J_H(\omega) = \frac{k_B T}{2} \frac{\omega \tilde{C}_H(\omega)}{|S^+(\omega)|^2}$$



$$D_C^2 = \frac{2m_C}{\pi} \int_0^\infty J_H(\omega) \omega d\omega$$

$$W_H(z) = \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{J_H(\omega)}{\omega - z} d\omega$$

$$W_H^+(\omega) = \lim_{\epsilon \rightarrow 0^+} W_H(\omega + i\epsilon)$$

↓

$$J_C(\omega) = \frac{D_C^2 J_H(\omega)}{|W_H^+(\omega)|^2}$$



Quantum bath

$$\hat{\rho}(\xi) = \langle e^{i\xi p_0} \rangle, p_0 = \sum_k U_{0k} P_k \Rightarrow \hat{\rho}(\xi) = \prod_k \hat{\phi}_k(U_{0k}\xi), \quad \hat{\phi}_k(\xi) \equiv \exp\left(-\frac{m\hbar\Omega_k}{4} \coth\left(\frac{\hbar\Omega_k}{2k_B T}\right)\xi^2\right)$$

$$\Omega_T = \sum_k |U_{0k}|^2 \Omega_k \coth\left(\frac{\hbar\Omega_k}{2k_B T}\right) \quad \rho(p) = \frac{1}{\sqrt{\pi m \hbar \Omega_T}} e^{-\frac{p^2}{m \hbar \Omega_T}}$$

$$L = \frac{m}{2} \dot{\mathbf{x}}^t \dot{\mathbf{x}} - \frac{m}{2} \mathbf{x}^t \nabla \mathbf{x}$$

$$\mathbf{v}_{00} = \omega_0^2, \quad \mathbf{v}_{0k} = \mathbf{v}_{k0} = -\frac{c_k}{m}, \quad \mathbf{v}_{kl} = \delta_{kl} \omega_k^2$$

Simple: $|U_{0k}|^2 = \left(1 + \sum_{l=1}^{N-1} \frac{c_l^2}{(\omega_l^2 - \Omega_k^2)^2}\right)^{-1}$..but we need to know the eigenfrequencies Ω_k

$$|\psi\rangle = x_0 |0\rangle + \int d\omega x(\omega) |\omega\rangle$$

$$L[\psi, \dot{\psi}] = \frac{m}{2} \langle \psi | \ddot{\psi} \rangle - \frac{m}{2} \langle \psi | V | \psi \rangle$$

$$V = \omega_0^2 |0\rangle \langle 0| - |\zeta\rangle \langle 0| - |0\rangle \langle \zeta| + \int d\omega \omega^2 |\omega\rangle \langle \omega| \text{ where } |\zeta\rangle = \frac{1}{m} \int d\omega c(\omega) |\omega\rangle$$

$$|U_{0k}|^2 \rightarrow -\frac{1}{\pi} \lim_{\epsilon \rightarrow 0+} G_{00}(y + i\epsilon) \quad (y \in \mathbb{R})$$

$$\Rightarrow G_{00}(z) = \frac{1}{z - \omega_0^2 - \frac{2}{\pi} \int_0^\infty \frac{J_C(\omega)\omega}{z - \omega^2} d\omega} \text{ or } W(z) \equiv \frac{1}{\omega_0^2 - z^2 - \frac{1}{\pi} \int_{-\infty}^{+\infty} \frac{J_C(\omega)}{\omega - z} d\omega}$$

$$\Im W^+(\omega) = \frac{\pi J_H(\omega)}{2 \int_0^\infty J_H(\omega) \omega d\omega} \sum_k |U_{0k}|^2(..) \rightarrow \int d(\omega^2) \frac{\Im W^+(\omega)}{\pi}(..)$$

$$\Omega_T = \frac{\int_0^\infty \omega^2 \coth\left(\frac{\hbar\omega}{2k_B T}\right) J_H(\omega) d\omega}{\int_0^\infty \omega J_H(\omega) d\omega}$$

